

?? HELP

Branch Historical Filings

Overview/Policy

This page represents all filings the branch has submitted to one or more regulators.

Definitions and Charts

Not Applicable

How To

View Historical Filings:

- 1. From the Form Filing Home screen, click Branch (MU3) on the sub-menu.
- 2. Click **Historical Filing** on the navigation panel.
- 3. Click the **View Filing** icon of the desired filing.

To view the changes between two filings select the checkbox next to two filings and click **Compare**. A new browser window, or tab opens with the changes in redline.

Clicking the words Click to View under the Sections Changed column will also display the sections that were modified, as seen in the example below.





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Helpful Hints

1. Historical Filings are also viewable from Composite View Branch under the Composite View tab.

Additional Resources:

- Find State-Specific requirements on the <u>NMLS Resource Center</u>.
- Details regarding information available on NMLS Consumer Access can be found within Information Viewable on NMLS Consumer Access.

See Company and Branch Help Table of Contents